

EL 881435982 US

Express Mail Mailing Number

Date of Deposit

I hereby certify that this paper or fee, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231.

Cedric Rodgers

Name of Person Mailing Application

Signature of Person Mailing Application

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

GH-007

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

Not known

10/070464

INTERNATIONAL APPLICATION NO.
PCT/AU00/01085

INTERNATIONAL FILING DATE
11 SEPTEMBER 2000

PRIORITY DATE CLAIMED
10 SEPTEMBER 1999

TITLE OF INVENTION

DIPEPTIDYL PEPTIDASES

APPLICANT(S) FOR DO/EO/US

Catherine Anne ABBOTT and Mark Douglas GORRELL

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) 35 U.S.C. 371(c)(4)) and **Power of Attorney** (Unexecuted)
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
- ☐ A SECOND or SUBSEQUENT preliminary amendment.

US APPLICATION NO. (if known, see 37 CFR 1.5) 10/070464		INTERNATIONAL APPLICATION NO. PCT/AU00/01085		ATTORNEYS DOCKET NUMBER GH-007																																																				
14. <input type="checkbox"/> A substitute specification and claims amended to conform the translated application to US practice 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input checked="" type="checkbox"/> Other items or information: (a) copy of the First Page of International Publication; (b) copy of International Search Report; (c) copy of International Preliminary Examination Report (d) computer-readable and hard copies of Sequence Listing (e) Statement regarding Sequence Listing Disclosures																																																								
17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(A)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search fee (37 CFR 1.445(a)(2)) paid to USPTO . . \$710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS PTO USE ONLY																																																				
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$1,040.00																																																				
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%;">Claims</th> <th style="width: 15%;">Number Filed</th> <th style="width: 15%;">Number Extra</th> <th style="width: 10%;">Rate</th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td style="text-align: center;">27</td> <td style="text-align: center;">7</td> <td style="text-align: right;">\$18.00</td> </tr> <tr> <td>Independent Claims</td> <td style="text-align: center;">10</td> <td style="text-align: center;">7</td> <td style="text-align: right;">\$84.00</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td style="text-align: right;">\$0.00</td> </tr> <tr> <td colspan="3">TOTAL OF ABOVE CALCULATIONS =</td> <td style="text-align: right;">\$1,884.00</td> </tr> <tr> <td colspan="3"> <input type="checkbox"/> Small Entity Status: Applicant claims small entity status (See 37 CFR 1.27.) Reduction of 1/2 for filing by small entity (if applicable). </td> <td style="text-align: right;">\$0.00</td> </tr> <tr> <td colspan="3">SUBTOTAL =</td> <td style="text-align: right;">\$1,884.00</td> </tr> <tr> <td colspan="3"> Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). </td> <td style="text-align: right;">\$0.00</td> </tr> <tr> <td colspan="3">TOTAL NATIONAL FEE =</td> <td style="text-align: right;">\$1,884.00</td> </tr> <tr> <td colspan="3"> Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40 per property </td> <td style="text-align: right;">\$0.00</td> </tr> <tr> <td colspan="3">TOTAL FEES ENCLOSED =</td> <td style="text-align: right;">\$1,884.00</td> </tr> <tr> <td colspan="3" rowspan="2"></td> <td style="text-align: right;">Amount to be refunded</td> <td style="text-align: right;">\$</td> </tr> <tr> <td style="text-align: right;">charged</td> <td style="text-align: right;">\$</td> </tr> </tbody> </table>				Claims	Number Filed	Number Extra	Rate	Total Claims	27	7	\$18.00	Independent Claims	10	7	\$84.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			\$0.00	TOTAL OF ABOVE CALCULATIONS =			\$1,884.00	<input type="checkbox"/> Small Entity Status: Applicant claims small entity status (See 37 CFR 1.27.) Reduction of 1/2 for filing by small entity (if applicable).			\$0.00	SUBTOTAL =			\$1,884.00	Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$0.00	TOTAL NATIONAL FEE =			\$1,884.00	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40 per property			\$0.00	TOTAL FEES ENCLOSED =			\$1,884.00				Amount to be refunded	\$	charged	\$	\$130.00	
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10/070404 07 MAR 2002

U.S. APPLICATION NO. (if known, see 37 CFR 1.51) 10/070404	INTERNATIONAL APPLICATION NO. PCT/AU00/01085	ATTORNEYS DOCKET NUMBER GH-007
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- a. ☒ A check in the amount of \$1,884.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional filing fees which may be required, or credit any overpayment to Deposit Account No. 15-0508. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

OLSON & HIERL, LTD.
20 North Wacker Drive
36th Floor
Chicago, Illinois 60606

Telephone: (312) 580-1180
Customer No.: 002387



SIGNATURE

Talivaldis Cepuritis

NAME

20,818

REGISTRATION NO.